

# WORK PROGRAMME

#### **QUALIFICATIONS**

The regulatory compliance qualifications framework has been developed to improve capability amongst those undertaking regulatory activities and to recognise expertise where it currently exists.

The aim of the qualifications is to deliver standardised, but flexible, training and qualifications to New Zealand's regulatory workforce, of which there are between 10,000 and 14,000 people working in local and central government.

The regulatory compliance qualifications framework is a programme made up of five nationally recognised qualifications. The five qualifications are levels 3–6 on the ten-level NZQA framework—that is, certificate to diploma levels.

The Government Regulatory Practice Initiative plays a key role in the development and delivery of the qualifications, partnering closely with the Industry Training Organisation for government (the Skills Organisation) to do so.

The Initiative fulfils this function in several ways, including:

- Its Steering Group maintains oversight of the development and implementation of the qualifications. The group approves training and assessment materials where it is satisfied they are of high quality.
- The 3D Network, reporting to the Steering Group, identifies, develops, peer reviews and approves training and assessment materials

OTHER ACTIONS TO BE DEVELOPED AND DELIVERED OVER 2016-2017

### Theme 1: The use of information

how regulatory agencies can effectively collect, collate and analyse information to support their regulatory activities

### Action:

The development of case studies on processes agencies have undertaken to better understand the regulated community and/or inform the regulated sector.

#### Action:

The production of a guidance on institutions established to share information, conduct joint intelligence, and improve targeting.

# Theme 2: Risk and the communication of risk

how regulatory agencies can best address risk and the perception of risk

#### Action:

A workshop to compile resources on risk identification and appreciation and develop a register of mentors.

#### Action:

A workshop on risk communication, followed by sharing best practice and case studies.

# Theme 3: The exercise of discretion

how regulatory agencies can ensure that discretion is exercised appropriately and what they need to have in their regulatory toolkit

#### **Action:**

A conference on the exercise of regulatory discretion—creating and supporting good decisionmaking within and across agencies.

# Theme 4: Regulatory stewardship

what regulatory stewardship means in a regulatory practice context

#### Action:

A working group to provide feedback on the regulatory stewardship requirements and consider ways to assist agencies to implement them

# Theme 5: The future of the qualifications

consideration of further development of the qualifications framework

#### Action:

A working group to map the capabilities required for successful regulatory stewardship against current learning and development activities.

